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For: A METHOD FOR OPTIMISING QUALITY OF SERVICE IN THE PACKET-SWITCHED DOMAIN OF A MOBILE COMMUNICATION SYSTEM

**SUBMISSION OF PRIORITY DOCUMENT**

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Sir:

Submitted herewith is a certified copy of the priority document on which a claim to priority was made under 35 U.S.C. § 119. The Examiner is respectfully requested to acknowledge receipt of said priority document.

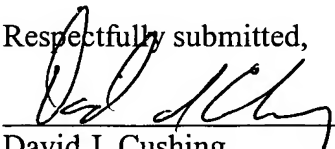
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**23373**

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Enclosures: Europe 03290071.4

Date: April 27, 2004

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**Europäisches  
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1041  
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Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

**Patentanmeldung Nr.    Patent application No.    Demande de brevet n°**

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Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

**R C van Dijk**





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Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se référer à la description.)

A method for optimising quality of service in the packet-switched domain of a  
mobile communication system

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## **A METHOD FOR OPTIMISING QUALITY OF SERVICE IN THE PACKET-SWITCHED DOMAIN OF A MOBILE COMMUNICATION SYSTEM**

The present invention is generally concerned with mobile communication systems.

5            Mobile communication systems in a general way are subject to standardisation ; therefore for a more detailed description of such systems one may refer in particular to the corresponding standards, published by the corresponding standardisation bodies.

Briefly, the general architecture of such systems is divided into a Radio  
10 Access Network (RAN), mainly responsible for transmission and management of radio resources on the radio interface between Mobile Stations (MS) and the network, and a Core Network (CN), mainly responsible for switching and management of the communications.

The evolutions of technology in such systems generally lead to a distinction  
15 between second generation systems and third generation systems.

A typical example of a second generation system is GSM (« Global System for Mobile communication »). The radio access technology used by the GSM RAN is based on multiple access techniques of FDMA/TDMA type (where FDMA stands for  
20 « Frequency Division Multiple Access » and TDMA stands for « Time Division Multiple Access »). The GSM RAN is composed of subsystems called BSS (« Base Station Subsystems ») and the GSM CN includes network elements such as MSC (« Mobile Switching Center ») and GMSC (« Gateway Mobile Switching Center »).

Initially, GSM was mainly intended for providing real-time services such as in particular telephony services, based on circuit-switched technology. GSM has next  
25 evolved towards providing non real-time services, such as in particular data transfer services, based on packet-switched technology. This evolution was made possible thanks to the introduction of GPRS (« General Packet Radio Service »), including the introduction of two new network elements in the CN, i.e. SGSN (« Serving GPRS Support Node »), and GGSN (« Gateway GPRS Support Node »). It is recalled that  
30 packet-switched technology enables a more efficient use of available resources, by sharing resources at any instant between different users.

A typical example of a third generation system is UMTS (« Universal Mobile Telecommunication System »). UMTS offers third generation services, including high

bit-rates for both real-time and non real-time services. The radio access technology used by the UMTS RAN is based on multiple access techniques of CDMA type (where CDMA stands for « Code Division Multiple Access »). The UMTS RAN is also called UTRAN (« UMTS Terrestrial Radio Access Network ») and the UMTS CN includes  
 5 network elements relating to packet-switched (PS) domain and network elements relating to circuit-switched (CS) domain.

Now, a further evolution of GSM is towards offering third generation services. A first step of this evolution corresponds to the introduction of EDGE (« Enhanced Data rates for GSM evolution ») enabling higher bit-rates on the radio  
 10 interface thanks to the use of modulation techniques of higher spectral efficiency. A second step of this evolution corresponds to the support of packet-based real-time services.

When packet-based technologies are used, the Quality of Service (QoS) becomes an important issue. The QoS architecture in third generation systems is  
 15 defined in the 3GPP TS 23.107 specification published by 3GPP (« 3<sup>rd</sup> Generation Partnership Project »). This QoS architecture relies on different Bearer Services characterized by different QoS attributes including : traffic class, maximum bitrate, guaranteed bitrate, transfer delay, traffic handling priority, ...etc. Further, a distinction is made between four classes of traffic, respectively : conversational,  
 20 streaming, interactive, background. Conversational and streaming classes are mainly used for real-time traffic flows, for which the QoS requirements are the highest, in terms of guaranteed bit rate and transfer delay.

The present invention is more particularly concerned with the support of services, in particular real-time services, in the Packet Switched (PS) domain in such  
 25 systems, in particular when considering GERAN access technology (where GERAN stands for « GSM/EDGE Radio Access Network »).

The general architecture of a system using GERAN access technology and packet-switched domain is recalled in figure 1.

The protocol architecture when considering GERAN access technology and  
 30 Packet-Switched (PS) domain is recalled in figure 2.

The protocol layers at the radio interface, or interface between MS and BSS, or « Um » interface, include :

- a first layer, or physical layer,



- a second layer, or data link layer, in turn divided into different layers : according to increasing levels, MAC (« Medium Access Control »), RLC (« Radio Link Control ») and LLC (« Logical Link Control », the BSS only being used as a relay function between MS and SGSN, for the LLC layer).

In the same way, the protocol layers at the interface between BSS and SGSN, or « Gb » interface, include :

- a first layer, or physical layer,
- a second layer, or data link layer, in turn divided into different layers : according to increasing levels, « Network service », BSSGP (« BSS GPRS Protocol»), and LLC (« Logical Link Control », the BSS only being used as a relay function between MS and SGSN, for the LLC layer).

Besides, higher level protocols (not illustrated specifically in this figure) are provided, at application level, or for management tasks such as MM (« Mobility Management»), SM (« Session Management»), ...etc.

It is also recalled that frames called LLC frames are formed, in the LLC layer, from data units of higher level. In the LLC frames these data units are called LLC-PDU (« LLC-Protocol Data Units ») data units. LLC-PDU data units are then segmented in the RLC/MAC layer, so as to form blocks called RLC data blocks. RLC data blocks are then put in the required format for transmission on the « Um » interface, in the physical layer.

It is also recalled that before any data can be transferred for a MS in a packet data session, a PDP (Packet Data Protocol) context must be activated or created for this session, both in the MS and in the SGSN, this PDP context including routing information and QoS information for this session.

Once this PDP context is activated, the MS may transfer data . When a MS effectively has data to transfer during this session, it has to enter a mode (called Packet Transfer Mode) where there is a TBF (Temporary Block Flow) established for this MS, i.e where this MS is allocated radio resource on one or more PDCH (Packet Data Channel) for the transfer of LLC PDUs. Otherwise, when the MS has no data to transfer, it is in a mode (called Packet Idle Mode) where it is not allocated any resource on a PDCH.

The process by which a MS is allocated radio resource on one or more PDCHs is called TBF establishment. Briefly recalled, this process may be either in a one - phase access or in a two-phase access. In either case the MS sends a Packet Channel Request to the network. In one-phase access, the network responds by  
 5 reserving radio resources for data transfer for this MS. In two-phase access, the network first responds by reserving radio resources for the MS to transmit a more detailed description of its needs, and thereafter reserves radio resources for the data transfer for this MS.

As recalled above, higher data rates can now be achieved thanks to the  
 10 GPRS enhancement corresponding to EDGE, also called EGPRS (Enhanced GPRS). Another way of achieving higher data rates is via multislot operation, whereby a MS can be simultaneously allocated more than one PDCH. However such ways of achieving higher data rates are generally not supported by all MSs and/or all cells of the network. Therefore, in order for the network to act efficiently, some mechanisms  
 15 are required, by which the network can get a knowledge of the radio access capabilities of a MS, including in particular its capability of operating in EGPRS mode, and/or its multislot class (or number of timeslots on which the MS can operate simultaneously).

It is also recalled that before requiring any activation of a PDP context, a MS  
 20 has to perform a GPRS Attach procedure, by which it provides the network with its identity as well as other parameters, mainly for a purpose of checking whether the user is authorised to have access to GPRS services, depending on his subscription. Among those parameters, the MS provides its radio access capabilities.

A typical transaction where the network has to get a knowledge of the MS  
 25 radio access capabilities is the TBF establishment procedure. As this transaction is between the MS and the BSS, specific mechanisms have been provided to enable the BSS to get a knowledge of the MS radio access capabilities, such mechanisms in particular providing that a different request message is sent by the MS depending on whether it supports EGPRS or not (EGPRS Packet Channel Request message if the MS  
 30 supports EGPRS, or (Packet) Channel Request message if the MS does not support EGPRS, the latter message depending on whether PBCCH (Packet Broadcast Control Channel) is present in the cell or not), or that the MS multislot class is indicated in the request message sent by the MS.

Turning back to the QoS architecture required for supporting third generation services in a system such as the one recalled at figure 1 (including the support of high bitrates for real-time services in the packet-switched domain), it is recalled that the setting-up of a bearer in such a system is generally performed in a way as to guarantee that the QoS requirements are fulfilled at different levels of the system, taking into account the different characteristics of each level. The different bearers on which the QoS architecture relies include in particular a radio bearer, and the QoS requirements have to be fulfilled at the radio level.

Therefore, when considering GERAN access technology, the support of services such as in particular real-time services, in the Packet Switched domain requires several basic functions:

- support of Rel-99 GERAN standards in the MS, BSS, and SGSN,
- support of Rel-99 QoS parameter negotiation at PDP context activation time, including a negotiation with the BSS (in Rel-97, the QoS parameters are negotiated only between the MS and the SGSN). This negotiation between the BSS and the SGSN requires the support of the Packet Flow Context feature on the Gb interface (defined in 3GPP TS 08.18),
- support of specific Call Admission Control algorithms in the BSS and the SGSN in order to guarantee real-time constraints such as transfer delay and bitrate, which requires the reservation of resources at the time of a bearer set-up.

Figure 4 shows an overview of the various steps involved in setting-up a bearer such as for example a real-time bearer.

- 1) The R99 MS requests the activation of a PDP context, for which the "QoS Requested" parameters correspond to a real-time bearer.
- 2) The SGSN may then perform security and trace functions. A Call Admission Control algorithm is called to check whether the required QoS attributes can be fulfilled. The SGSN may then restrict the requested QoS attributes given its capabilities and the current load, and it shall restrict the requested QoS attributes according to the subscribed QoS profile. The SGSN then requests the creation of the PDP context in the GGSN.
- 3) Various functions are performed in the GGSN, which may even reject the request from the SGSN if the QoS Negotiated received from the SGSN is incompatible with the PDP context being activated.

4) Once the creation of the PDP context in the GGSN has been confirmed as successful, the SGSN then requests the creation of a Packet Flow Context (PFC) for the real-time bearer. Although it is possible in theory to aggregate several bearers into the same PFC, it seems better to create one PFC for each real-time bearer and aggregate only non real-time bearers having similar QoS characteristics within the same PFC. The request from the SGSN contains several mandatory parameters:

- TLLI: identifier of the Mobile Station
- PFI: Packet Flow Identifier (identifier of the PFC)
- 10 - PFT: Packet Flow Timer (lifetime of the PFC during periods of inactivity)
- ABQP: Aggregate BSS QoS Profile (QoS parameters characterising the PFC)

5) The BSS then performs a Call Admission Control algorithm to check whether the requested QoS attributes can be fulfilled. Several functions may be performed in order to be able to support the requested QoS (e.g. reallocation of other MSs, redirection of the MS to another less loaded cell, etc). The BSS may restrict the requested aggregate BSS QoS profile given its capabilities and the current load, although not fulfilling the guaranteed bitrate and the transfer delay attributes should as far as possible be avoided. The BSS performs resource reservation in order to support the negotiated guaranteed bitrate and transfer delay, taking into account the RLC mode that will be used for the flow (quite likely: RLC acknowledged mode since LLC PDUs should be rather large : 500 octets or more for video streaming for instance). The resources reserved on the radio interface need to be higher than the negotiated guaranteed bitrate due to radio interface overheads.

6) The BSS acknowledges the PFC creation if it is successful, providing to the SGSN the negotiated ABQP, i.e. the negotiated Quality of Service attributes.

7) Assuming that the negotiated ABQP is acceptable for the SGSN, the PDP context activation procedure is completed by the sending of an acceptance message to the Mobile Station.

8) Because the SGSN will have to comply with the announced leak rate for the corresponding MS/PFC, it is quite likely that the BSS has to send a FLOW CONTROL MS or FLOW CONTROL PFC message to the SGSN in order to announce

a leakrate that is greater than the negotiated guaranteed bitrate (otherwise default values are used). The choice between MS and PFC flow control is implementation dependent and depends also on whether there are other active PFCs for the same MS.

- 5           9)       The SGSN acknowledges the FLOW CONTROL MS or FLOW CONTROL PFC message.

          10)       When the real-time session is started (case of downlink flow in this example) thanks to other upper layer protocols not described in this document, the SGSN sends to the BSS, BSSGP PDUs containing the PDU lifetime, the QoS profile  
10 (R97, not useful in this case), the MS Radio Access Capabilities, the PFI and the LLC PDU to be sent.

          11)       The BSS sends the LLC PDUs to the MS.

In this context, the present invention is based in particular on the following observations.

- 15           From the description above, it appears that an important step in supporting real-time services is the call admission control algorithm both in the SGSN and in the BSS, which requires the knowledge of:

- the cell in which the MS is, and its capabilities (e.g. if it is EGPRS capable or not);
- 20           -           the cell state (e.g. how loaded it is);
- the MS capabilities (e.g. if the MS is EGPRS capable or not, and the MS's multislot class).

          Indeed, if the PDP context requires a guaranteed bitrate that cannot be supported in GPRS mode by a multislot class 1 MS, then the BSS needs to know  
25 whether the MS is EGPRS capable or not and what is its multislot class; also the BSS needs to know whether the cell in which the PFC would be used is EGPRS capable or not and what are the remaining radio resources.

          For example, considering the case where the PDP context requires a downlink guaranteed bitrate of 64kbps for an MS that is located:

- 30           -           in an EGPRS capable cell that has plenty of resources available, the following cases may be considered for example:

→ case 1: if the MS is GPRS capable-only and is multislot class 4, the maximum theoretical throughput that can be offered on the radio in downlink is:  $3 * 20 \text{ kbps} = 60 \text{ kbps}$ . In this case, the requested guaranteed bitrate cannot be served.

→ case 2: if the MS is EGPRS capable and is multislot class 8, the maximum theoretical throughput that can be offered on the radio in downlink is:  $4 * 59.2 \text{ kbps} = 236.8 \text{ kbps}$ . In this case, the requested guaranteed bitrate can be served.

- in a GPRS capable cell only, that has plenty of resources available, the following case may be considered for example:

→ case 3: whatever the MS's EGPRS capability, only the GPRS multislot class shall be considered. If the MS is GPRS multislot class 8, then the maximum theoretical throughput that can be offered in the downlink is:  $4 * 20 \text{ kbps} = 80 \text{ kbps}$ . However, the BSS knows that because of the radio conditions, only  $4 * 15 \text{ kbps} = 60 \text{ kbps}$  can be obtained. In this case, the requested guaranteed bitrate cannot be served

Therefore it can be seen that depending on the MS characteristics and the cell characteristics, the BSS will answer differently to the SGSN request.

In this context, the present invention recognises some problems with the state of the art, which may be presented as follows.

In the SGSN all characteristics of the Mobile Station are known since the MS has previously performed a GPRS Attach procedure. Further, the SGSN does not know the cell radio characteristics and should not decode MS radio characteristics such as EGPRS capability and multislot class. Therefore it will likely implement a very simple CAC based only on its current load and maybe considering the maximum theoretical throughput that can be served by GERAN (which is  $8 * 59.2 = 473.6 \text{ kbps}$ ).

In the BSS, there are two options:

- either the MS for which the real-time PFC is requested is already in Packet Transfer Mode (i.e. there is a TBF established for that MS for another, on-going session). In this case, everything needed for the CAC (Call Admission Control) is typically known in the BSS: the MS's serving cell, its EGPRS capability and its multislot class. There are some cases though in which the MS's multislot class is not known (e.g. there is only an uplink EGPRS TBF (UL EGPRS TBF) on-going established

following the receipt of an EGPRS PACKET CHANNEL REQUEST with cause 'signalling').

- or the MS is not in packet transfer mode. In this case, if the MS was in Packet Transfer Mode a few seconds ago (i.e. the MS is still in the Ready state) and the BSS has stored the MS Radio Access Capabilities from the last GPRS session, the MS Radio Access Capabilities can be known. Since the CREATE BSS PFC message is sent on a BVCI (BSSGP Virtual Connection identifier) corresponding to the cell in which the MS is located, then the BSS has everything it needs. However, the storing of MS Radio Access Capabilities following a GPRS session is not a standardised procedure and furthermore the availability of the MS Radio Access Capabilities cannot always be guaranteed (e.g. the GPRS session only consisted of an uplink TBF created through a one-phase access procedure; in this case the MS Radio Access Capabilities are not made known to the BSS).

Therefore, today it cannot be ensured that the BSS knows the MS's EGPRS capability and its multislot class at the time it receives a CREATE BSS PFC message.

As also realized by the present invention, a solution could be to use a standardised procedure which allows the BSS to retrieve the MS Radio Access Capabilities from the SGSN : it is called the RA CAPABILITY UPDATE procedure. This procedure could be called by the BSS when it receives a CREATE BSS PFC message for a MS which it does not know.

However, as further realised by the present invention, this procedure would add some delay in the real-time bearer set-up time, which is a very time-critical procedure since the end-user experience depends on that set-up time. Also, in practice it may not be guaranteed that all SGSNs have implemented this procedure, i.e. it may be impossible to use this procedure.

In other words, today the mechanisms by which the network can get a knowledge of the radio access capabilities of a MS at the time of the creation (or modification) of a Packet Flow Context are not fully optimised, and therefore the QoS requirements may not be fulfilled, or the overall system performance may be degraded.

The present invention in particular enables to solve part or all of the above-recognized problems. More generally, the present invention enables to optimise the

support of services such as in particular real-time services in the packet-switched domain of such systems.

An object of the present invention is a method for optimising quality of service in the packet-switched domain of a mobile communication system, a method

5 wherein :

- a core network entity of said system sends to a radio access network entity of said system a request for the setting-up or reconfiguration of a radio bearer for a packet session for a mobile station, said request including first information derived from quality of service information contained in a corresponding request

10 received by said core network entity,

- said core network entity adds to said request second information known at its level and which can be used, together with said first information, to perform a call admission control at the radio level.

According to an embodiment, said second information include information  
15 representative of radio access capabilities of said mobile station.

According to another embodiment, said radio access capabilities include capabilities to support higher data rates.

According to another embodiment, said capabilities to support higher data rates include a multislot capability.

20 According to another embodiment, said capabilities to support higher data rates include a capability to support different data transfer modes.

According to another embodiment, said different data transfer modes include the GPRS (« General Packet Radio Service ») mode and the EGPRS (« Enhanced General Packet Radio Service ») mode.

25 According to another embodiment, said setting-up or reconfiguration of a radio bearer includes the creation or modification of a Packet Flow Context.

According to another embodiment, said request for the setting-up or reconfiguration of a radio bearer is sent in a CREATE BSS PFC message.

Another object of the present invention is a Radio Access Network entity  
30 (BSS) for performing a method according to the present invention.

Another object of the present invention is a Core Network entity in the Packet-Switched domain (SGSN) for performing a method according to the present invention.



These and other objects of the present invention will become more apparent from the following description taken in conjunction with the accompanying drawings:

- figure 1 is a diagram intended to recall the general architecture of a system using GERAN access technology and packet-switched domain,
- 5        - figure 2 is a diagram intended to recall the protocol architecture in a system using GERAN access technology and Packet-Switched (PS) domain,
- figure 3 is a diagram intended to recall the different steps involved in the setting-up of a bearer such as a real-time bearer in such a system.

10        The present invention may also be explained as follows, for example when considering GERAN access technology and PS domain, as recalled above.

The present invention in particular proposes to add to the CREATE-BSS-PFC message as defined in 3GPP TS 08.18 and 3GPP TS 48.018 specifications, allowing the BSS to create or modify a BSS Packet Flow Context, information representative of  
15        the radio access capabilities of the MS.

Information representative of the radio access capabilities of a MS may include, in particular, its multislots capability, and/or its capability to support the EGPRS mode.

More generally the present invention proposes a method for optimising quality of service in the packet-switched domain of a mobile communication system, a method wherein :

- a core network entity of said system sends to a radio access network entity of said system a request for the setting-up or reconfiguration of a radio bearer for a packet session for a mobile station, said request including first information derived from quality of service information contained in a corresponding request received by said core network entity,

said core network entity adds to said request second information known at its level and which can be used, together with said first information, to perform a call admission control at the radio level.

In particular :

- the mobile communication system may be in particular a GSM/EDGE system,
- the radio access network may be a GSM/EDGE BSS
- said second second information may be for performing CAC (Call Admission control) of a real-time bearer and may be the MS's Radio Access Capabilitiesaid setting-up or reconfiguration of a radio bearer may include the creation or modification of a Packet Flow Context,
- said request for the setting-up or reconfiguration of a radio bearer may be sent in a CREATE BSS PFC message,
- said method may be for the PFC creation procedure initiated by the SGSN at the time of the PDP context activation for a real-time bearer.

The present invention also has for its object a network element for a radio access network (BSS) of a mobile communication system, comprising means for performing a method according to any of the above described methods.

The present invention also has for its object a network element (SGSN) for a core network of a mobile communication system, comprising means for performing a method according any of the above described methods.

The detailed implementation of such means does not raise any special problem for a person skilled in the art, and therefore such means do not need to be more fully disclosed than has been made above, by their function, for a person skilled in the art.

### CLAIMS

1. A method for optimising quality of service in the packet-switched domain of a mobile communication system, a method wherein :

- a core network entity of said system sends to a radio access network  
5 entity of said system a request for the setting-up or reconfiguration of a radio bearer for a packet session for a mobile station, said request including first information derived from quality of service information contained in a corresponding request received by said core network entity,
- said core network entity adds to said request second information  
10 known at its level and which can be used, together with said first information, to perform a call admission control at the radio level.

2. A method according to claim 1, wherein said second information include information representative of radio access capabilities of said mobile station.

3. A method according to claim 1, wherein said radio access capabilities  
15 include capabilities to support higher data rates.

4. A method according to claim 3, wherein said capabilities to support higher data rates include a multislot capability.

5. A method according to claim 3, wherein said capabilities to support higher data rates include a capability to support different data transfer modes.

20 6. A method according to claim 5, wherein said different data transfer modes include the GPRS (« General Packet Radio Service ») mode and the EGPRS (« Enhanced General Packet Radio Service ») mode.

7. A method according to any of claims 1 to 6, wherein said setting-up or reconfiguration of a radio bearer includes the creation or modification of a Packet  
25 Flow Context.

8. A method according to claim 7, wherein said request for the setting-up or reconfiguration of a corresponding radio bearer is sent in a CREATE BSS PFC message.

9. A network element (SGSN) for a core network of a mobile communication  
30 system, comprising means for performing a method according to any of claims 1 to 8.

10. A network element of a Radio Access Network entity (BSS) of a mobile communication system, comprising means for performing a method according to any of claims 1 to 8.

## **ABSTRACT**

### **A METHOD FOR OPTIMISING QUALITY OF SERVICE IN THE PACKET-SWITCHED DOMAIN OF A MOBILE COMMUNICATION SYSTEM**

A method for optimising quality of service in the packet- switched domain of a mobile communication system, a method wherein :

- a core network entity of said system sends to a radio access network entity of said system a request for the setting-up or reconfiguration of a radio bearer for a packet session for a mobile station, said request including first information derived from quality of service information contained in a corresponding request received by said core network entity,
- said core network entity adds to said request second information known at its level and which can be used, together with said first information, to perform a call admission control at the radio level.

**Figure to be published : Fig.1**



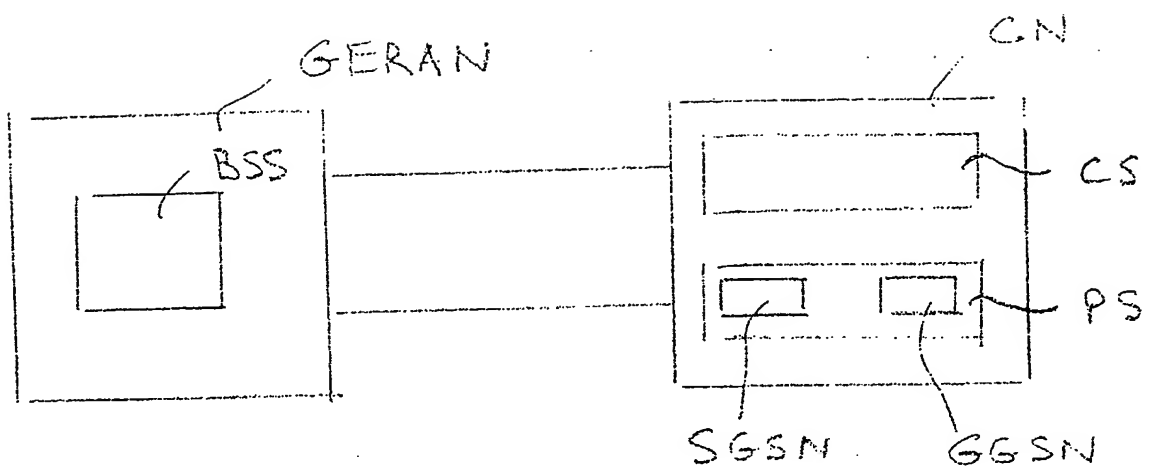


Fig. 1

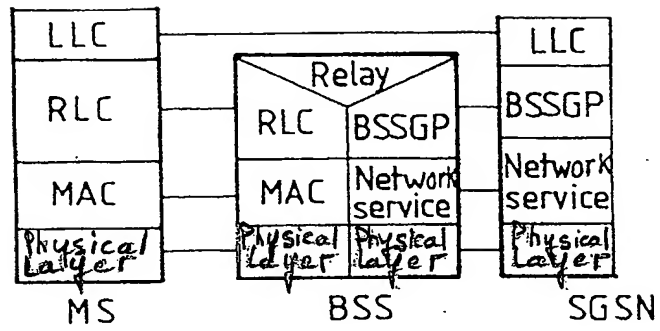


Fig. 2



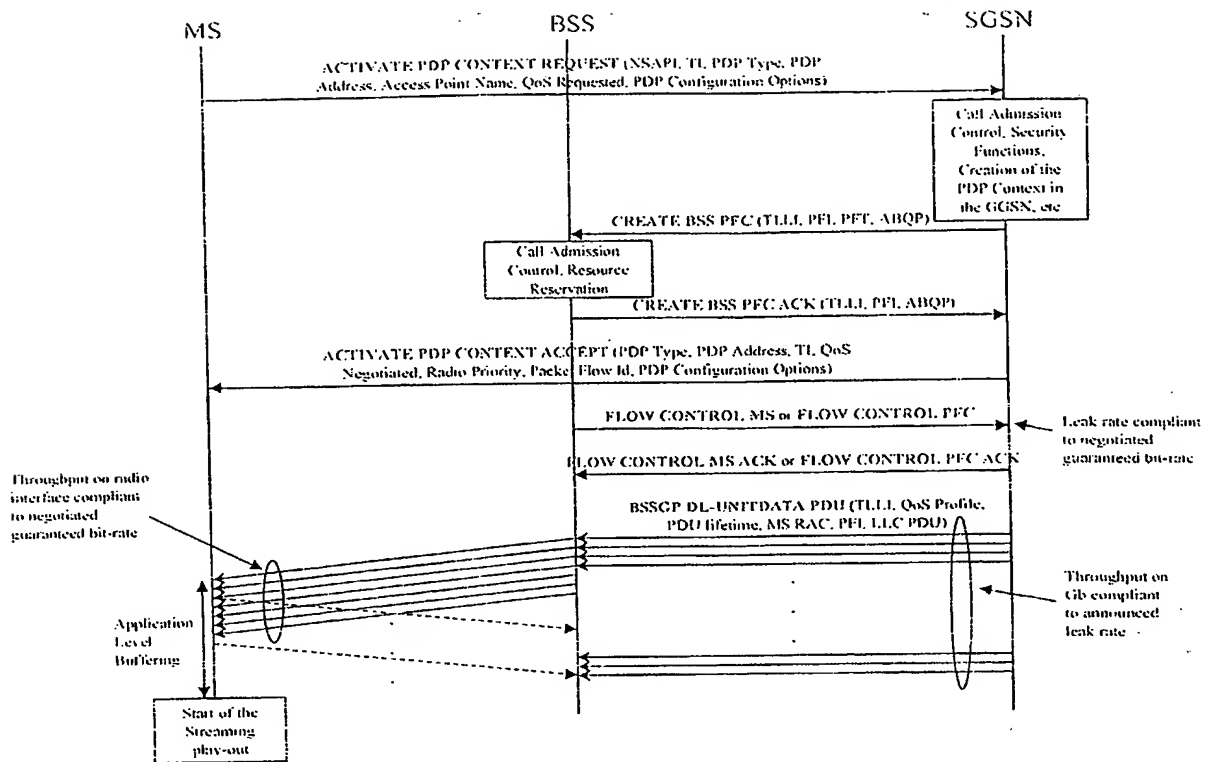


Fig. 3

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